



FEDERAL MATCHING GRANT GUIDELINES

Introduction

The Indiana Brownfields Program (“Program”) offers Federal Matching Grants (“Matching Grant”) to qualifying political subdivisions (as defined by Indiana Code 13-11-2-164(c)) and other qualifying federal grant recipients (e.g., certain not-for-profits) (collectively, “Applicants”) in furtherance of the statutory purposes of the Indiana Brownfields Program.

Federal agencies, principally the United States Environmental Protection Agency (“EPA”), provide grants to eligible entities engaged in brownfields redevelopment activities. Activities that may be conducted with EPA funds include environmental assessment and remediation, site inventories, and environmental job training. EPA grants are awarded through a competitive process, wherein Applicants are (i) required to provide matching funds, or (ii) rewarded when they provide matching funds, or both.

Purpose

Successful brownfields redevelopment projects involve public and private partners that invest technical and financial resources to leverage additional resources from others. The goal of the Matching Grant is to increase the competitive standing and success rate of Applicants from Indiana for Federal financial assistance for brownfields redevelopment. The Program provides a Matching Grant to enable Applicants to better leverage Federal resources for brownfields redevelopment projects.

Obtaining Matching Grant Assistance

Indiana Applicants are encouraged to identify the Matching Grant as a *pre-committed* source of matching funds in their Federal brownfields grant proposals to strengthen their applications for funding. The amount of the Matching Grant, based on the type of Federal grant being pursued, is described in Table 1 of these guidelines. EPA does not require Applicants to provide a percent cost share for assessment grants and, therefore, due to limited available State funds, *the Program does not make a Matching Grant commitment for assessment grants*. In order to further strengthen the proposals of Indiana Applicants, as a condition of acceptance of the Program’s Matching Grant, the Applicant must also agree to provide a nominal local match of 5% of the Applicant’s total EPA grant amount. See Table 1.

Applicants that apply for EPA brownfields assessment, revolving loan fund, and cleanup grants are required to include an acknowledgement letter from the State in their grant proposals. A request to the Program for an acknowledgement letter will serve as notification to the Program of a pending proposal for Federal funding. The Program will monitor the demand for Matching Grant commitments through this notification process and other communications with potential Applicants and will adjust available funding for the Matching Grant accordingly.

Upon an Applicant's receipt of a Federal award, the Program will prepare a financial assistance agreement to be executed by and between the Applicant, the Indiana Finance Authority ("Authority") and the Indiana State Budget Agency to document the Program's Matching Grant commitment and the Applicant's commitment of a local match. The Program will reimburse previously unpaid invoices up to the full amount of the Matching Grant award after the same amount has been requested from EPA pursuant to the Federal award.

An Applicant's Matching Grant is only available during the Federal grant period outlined in the Applicant's Cooperative Agreement with EPA. Any Matching Grant funding not spent by the Applicant before the expiration of its EPA Cooperative Agreement will be de-obligated and made available for other Program incentives. In addition, a determination of eligibility to receive brownfields grant assistance from the Authority under these guidelines does not release any party from obligations under any federal or state law or regulation, or under common law, and does not impact or limit state or EPA enforcement authorities against any party.

Further Information

The Program welcomes comments on the Matching Grant at any time. Questions regarding these guidelines or the Matching Grant should be directed to the Program's Financial Resources Coordinator at (317) 234-1688. These guidelines may be modified by the Indiana Finance Authority Board at any time to promote the effective and efficient administration of the Indiana Brownfields Program.

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TABLE 1 – MATCHING GRANT AWARD SCHEDULE

The amount of the Matching Grant provided by the Program and the local match required of the Applicant, based on the type of Federal grant being pursued, is described below.

EPA GRANT TYPE	Revolving Loan Fund Grant	Cleanup Grant
<i>Federal Grant Information</i>		
Max. Federal Gov't Award: Site/Entity Minimum Required Match: Site/Entity	N/A / \$1,000,000 N/A / 20%	\$200,000 / \$600,000 20% / 20%
<i>Indiana Matching Grant Information</i>		
Automatic Matching Grant: Site/Entity Max. Matching Grant: Site/Entity	N/A / 20% N/A / \$200,000	20% / 20% \$40,000 / \$120,000
<i>Local Match Required by Program</i>	N/A / 5%	5% / 5%
Max. Local Match: Site/Entity	N/A / \$50,000	\$10,000 / \$30,000
TOTAL INDIANA MATCH COMMITMENT (Program + Applicant, that can be reflected in grant proposal submitted to EPA)	25%	25%
Max. Indiana Match: Site/Entity	N/A / \$250,000	\$50,000 / \$150,000

Note: Information provided regarding federal grant information is from the federal *FY09 Proposal Guidelines for Brownfields Assessment, Revolving Loan Fund, and Cleanup Grants*, released by U.S. EPA on August 22, 2008. Indiana Applicants should rely only on published EPA material for the most accurate information.